

San Francisco, CA 94111-3834



DECLARATION AND POWER OF ATTORNEY

| My residence, posonly one name is claimed and for CHEMICAL Manager Application as Application above. I acknowledge of Federal Rapplications(s) for | s listed below) or an owhich a patent is soug ECHANICAL POLISation No09/038,875 and understand the content whedge the duty to disclar degulations, Section 1. patent or inventor's content a filing date before the | hat: tizenship are as stated below riginal, first and joint invent the on the invention entitled SHING the specification of and was amende that of the above identified species information which is ma 56. I claim foreign priority entificate listed below and hat at of the application on which | tor (if place) PARA which d on cification terial to benefits ave also | lural inventors are named LLEL ALIGNMENT N is attached hereto or (if applicable). n, including the claims, as the examination of this ar under Title 35, United Statement of the statemen | below) of the METHOD All X was file armended by oplication in a cates Code, So | e subject matter which ND APPARATUS For any amendment reference with Title ection 119 of any fore | n is OR OR Trec 37, |
|--|---|---|---|---|--|---|---------------------------------|
| Country | | Application No. | D | ate of Filing | | y Claimed 35 USC 119 | |
| in a second | 0 | | | | Yes | No | |
| 17 17 17 17 17 17 17 17 17 17 17 17 17 1 | | | | | Yes | No | |
| matter if each of paragraph of Title if Federal Regulations applicate of this applicate. | the claims of this app 35, United States Code ions, Section 1.56 which ation: | States Code, Section 120 of plication is not disclosed in section 112, I acknowledge to occurred between the filing | any Union | 12, 1997 ted States application(s) li r United States application ty to disclose material inf | n in the man ormation as d ae national or | ner provided by the f lefined in Title 37, C | irst ode |
| Application No. | | Date of Filing | | · · · · · · · · · · · · · · · · · · · | Status | | |
| 08/892,795 | · · · · · · · · · · · · · · · · · · · | Juy 15, 1997 | | Patented X | Pending | _ Abandoned | _ |
| OWER OF ATI | ORNEY: As a named ss in the Patent and Tr | inventor, I hereby appoint the rademark Office connected t Richard T. Ogav Robert J. Benne Stephen Y. Pan | herewith va, Reg. tt, Reg. | ng attorney(s) and/or age . No. 37,692 No. 27,533 | Pending | Abandoned | and |
| Send Correspond Richard T. Oga TOWNSEND a Two Embarcad | | CREW LLP | (Nam | t Telephone Calls to: e, Reg. No., Telephone N e: Richard T. Ogawa | lo.) | | |

Reg. No. 37,692

Telephone: (650) 326-2400

| Full Name of Inventor 1 | Last Name Walsh | First Name Thomas | Middle Name or Initial | |
|----------------------------|---|--------------------------|---|-------------------|
| Residence & Citizenship | City Templeton | State/Foreign Country CA | Country of Citizenship United States | |
| Post Office Address | Post Office Address 805 Spring Creek Way | City Templeton | State/Country CA | Zip Code 93465 |
| Full Name of Inventor 2 | Last Name | First Name | Middle Name or Initi | al |
| Residence & Citizenship | City | State/Foreign Country | Country of Citizenshi | p |
| Post Office Address | Post Office Address | City | State/Country | Zip Code |
| Full Name of Inventor 3 | Last Name | First Name | Middle Name or Initia | al |
| Residence & Citizenship | City | State/Foreign Country | Country of Citizenshi | p |
| Post Office Address | Post Office Address | City | State/Country | Zip Code |
| Full Name of Inventor 4 | Last Name | First Name | Middle Name or Initial | |
| Residence & Citizenship | City | State/Foreign Country | Country of Citizenship | |
| Post Office Address | Post Office Address | City | State/Country | Zip Code |

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| Signature of Inventor 1 | Signature of Inventor 2 | Signature of Inventor 3 |
|-------------------------|-------------------------|-------------------------|
| Thomas Walsh | | · |
| Date 7/23/18 | Date | Date |

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(Page 2 of 2)

PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (the "Assignment") is entered into as of December 20, 2000, by Strasbaugh, a California corporation having its principal place of business located at 825 Buckley Road, San Luis Obispo, California ("Seller"), for the benefit of Lam Research Corporation, a Delaware corporation having its principal place of business located at 4650 Cushing Parkway, Fremont, California (the "Company").

RECITALS

WHEREAS, Seller and the Company are party to a certain Assignment Agreement dated as of December 20, 2000, pursuant to which Seller has agreed to assign, among other things, certain intellectual property to the Company:

NOW, THEREFORE, in consideration of the foregoing and the mutual promises contained herein and other good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, the parties agree as follows:

ASSIGNMENT

- 1,1 Seller Patents. For purposes of this Assignment, "Seller Patents" shall 1. mean each of the patents, patents pending and patent applications listed on Schedule 1 hereto, and (i) any other patent rights owned or controlled by Seller in patents and patent applications, and the inventions covered by those applications and patents, and Foreign Counterparts (as defined below) thereof, (ii) any additional patent rights Seller now owns, controls or has access to, are now control or have access to in the future which are now continuations, continuations-in-part, divisionals or substitutes of the original applications upon which the aforementioned patent rights are based, and the inventions covered thereby, or Foreign Counterparts thereof, and upon any reexaminations, reissues, renewals or extensions thereof, and (iii) any improvements patented by Seller. "Foreign Counterparts" shall mean all foreign patent applications and issued foreign patents, which claim priority from, or share common priority with, an identified United States patent or patent application, or which claim and disclose substantially similar inventions that are the subject matter of such identified United States patent or patent applications.
- 2. <u>Assignment</u>. Seller hereby assigns, transfers and conveys to the Company all of Seller's rights, title and interest throughout the world in and to the Seller Patents, the underlying inventions described therein, and all rights, claims and privileges pertaining to the Seller Patents.
- 3. <u>Effective Date</u>. This Assignment shall be effective as of the date set forth above.

(This space intentionally left blank.)

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IN WITNESS WHEREOF, the undersigned has executed this Assignment as of the date first written above.

STRASBAUGH, a California corporation.

| | By: Mulle Name: Jim Burke Title: CZO |
|---|---|
| | the year of 2000 , before me, the undersigned amed assignor, known to me (or proved to me on person whose name is subscribed to the within the same. |
| Acknowledged: LAM RESEARCH CORPORATION, a Delaware corporation | YELENA A. WILLIAMS COMM. #1241178 NOTARY PUBLIC-CALIFORNIA S SAN FRANCISCO COUNTY My Comm. Expires Nov. 7, 2003 |
| By: | |
| Date:, 2000 | |

IN WITNESS WHEREOF, the undersigned has executed this Assignment as of the date first written above.

STRASBAUGH, a California corporation.

| | april a Stranlough, Secretar | 4 |
|--------|------------------------------|---|
| By: | Mache | 1 |
| Name: | // James M Benha | |
| Title: | C 60 | |
| | | |

Acknowledged:

LAM RESEARCH CORPORATION,

a Delaware corporation

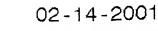
By:

Name: STEPHEN G. NEWBERRY

Date: _____, 2000



ASSIG





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SHEET

To: Honorable Commissioner of Patents and Trademarks:

| 10. | rionolable commissioner of ratelits and trademarks. |
|-------|---|
| | Please record the attached original document(s) or copy thereof. |
| 1. | Name and address of conveying party(ies) Name: Strasbaugh Address: 825 Buckley Road, San Luis Obispo, CA 93401 |
| 2. | Name and address of receiving party(ies): Name: Lam Research Corporation Address: 4650 Cushing Parkway, Fremont, CA 94538 |
| 3. | Nature of conveyance Assignment |
| | Execution Date: December 19, 2000 |
| 4. | Application Number: 09/038,875 |
| | The title of the application is: PARALLEL ALIGNMENT METHOD AND APPARATUS FOR CHEMICAL MECHANICAL POLISHING |
| 5. | Please send all correspondence concerning this (these) documents to: |
| | Albert S. Penilla, Esq. MARTINE PENILLA & KIM, LLP 710 Lakeway Drive, Suite 170 Sunnyvale, CA 94085 Customer Number 25920 Tel. No. (408) 749-6900 Fax No.: (408) 749-6901 |
| 6. | Total number of applications and patents involved: 1 |
| 7. | Total fee (37 CFR 3.41): \$40.00 |
| | Enclosed Authorized to be charged to Deposit Account No. 50-0805 (Order No) |
| 8. | To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. |
| Date: | January 29, 2001 Albert S. Penilla, Esq. |
| H11 : | 00000336 09038875 Registration No. 39,487 |

2/13/2001 GTOH11

1 FC:581



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office
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ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APRIL 25, 2001

PTAS

MARTINE PENILLA & KIM, LLP ALBERT S. PENILLA, ESQ. 710 LAKEWAY DRIVE, SUITE 170 SUNNYVALE, CA 94085



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UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/02/2001

REEL/FRAME: 011507/0154

NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

STRASBAUGH

DOC DATE: 12/19/2000

ASSIGNEE:

LAM RESEARCH CORPORATION 4650 CUSHING PARKWAY FREMONT, CALIFORNIA 94538

SERIAL NUMBER: 09038875

PATENT NUMBER:

FILING DATE: 03/11/1998

ISSUE DATE:

VIOLET MCCOY, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

SCHEDULE 1

Seller Patents

| Legend | | |
|---------------------------|----------------------|--|
| Boyd John Boyd | | |
| Fuhriman John Fuhriman | | |
| Halley | David G. Halley | |
| Kalenian | William J. Kallenian | |
| Lentz | entz Terry Lentz | |
| Strasbaugh | Alan Strasbaugh | |
| Treur | Treur Randy Treur | |
| Vogtmann Michael Vogtmann | | |
| Walsh | sh Jeffrey A. Walsh | |
| Wells | Cariton Wells | |
| Wolf Stephan Wolf | | |

| Description of Invention | Inventor | Patent or Application Number |
|--|-----------------|---|
| Wafer Carrier For Film Planarization | Strasbaugh | US Patent 5,449,316 Application 08/178,531 |
| Apparatus For Sensing The Presence Of A Wafer | Kalenian, Lentz | US Patent 5,961,169 Application 09/124,160 |
| 2 1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 | | International Patent Application No. PCT/US99/17037 |
| Wafer Handling Apparatus Having A Resilient Membrane Which Holds Wafer | Strasbaugh | US Patent 5,423,716 Application 80/178,530 |
| Projected Gimbal Point Drive | Halley | Provisional 60/215,666 |
| Accurate Positioning of a Wafer | Vogtmann | US Patent 6,131,589 |
| | | Application 09/247,108 |
| | | International Patent Application No. PCT/US99/02699 |
| Non-Contacting Support For A Wafer | Vogtmann | Application 09/247,107 |
| Sensing The Presence of a Wafer | Vogtmann | Application 09/247,108 |
| | | International Patent Application No. PCT/US99/02699 |

| Description of Invention | Inventor | Patent or Application Number |
|---|------------------------|---|
| Lifting And Rinsing A Wafer | Vogmann | US Patent 6,102,057 Application 09/247,109 |
| | | International Patent Application No. PCT/US99/02699 |
| Parallel Alignment Method And Apparatus For Chemical Mechanical Polishing | Walsh, Fuhriman, Wells | Application 09/038,875 |
| Optical Viewport for CMP Endpoint Detection | Treur, Wolf, Boyd | US Patent 6,146,242 Application 09/330,472 |
| | | International Patent Application No. PCT/US00/16026 |